

# **INC 341 Feedback Control Systems: Lecture 7 Feedback Control**

**Asst. Prof. Dr.-Ing. Sudchai Boonto**

Department of Control Systems and Instrumentation Engineering  
King Mongkut's University of Technology Thonburi

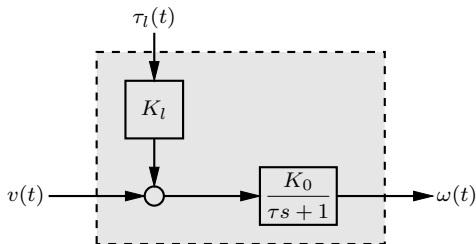


# Feedback Control and Sensitivity

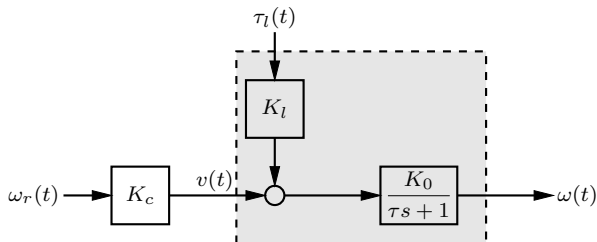
Consider a simplified speed motor model

$$\frac{\Omega(s)}{V(s)} = \frac{K_0}{\tau s + 1}, \quad \frac{\Omega(s)}{\hat{\tau}_l(s)} = \frac{K_l K_0}{\tau s + 1},$$

where  $\tau_l(t)$  is a load torque, which is consider as a *disturbance input*,  $v(t)$  is a voltage input and  $K_l$ ,  $K_0$  are motor constants.



# Open-loop Control

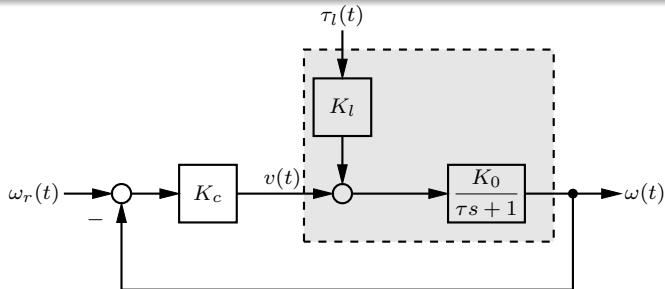


- The controller is connected in series with the plant, and the controller input is the desired speed  $\omega_r(t)$  (here we mean a step speed input).
- The steady state gain of the plant is  $K_0$  and there is no load torque ( $\tau_l(t) = 0$ )
- At the steady state the motor speed is

$$\omega(\infty) = K_0 v(\infty).$$

- By setting  $K_c = 1/K_o$ , it leads to the desired result  $\omega(\infty) = \omega_r(\infty)$ .

# Closed-loop Control



- The closed-loop transfer function is

$$\frac{\Omega(s)}{\Omega_r(s)} = \frac{K_c K_0}{\tau s + 1 + K_c K_0}$$

- The steady state closed-loop gain is

$$G_0 = \frac{K_c K_0}{1 + K_c K_0}$$

# Closed-loop Control

- In steady state operation, the motor speed is

$$\omega(\infty) = \frac{K_c K_0}{1 + K_c K_0} \omega_r(\infty)$$

- Therefore, in contrast to open-loop control, the closed-loop controller cannot set the actual speed exactly to its desired value.
- The gain product  $K_c K_0$  is much larger than 1, the steady state error  $\omega(\infty) - \omega_r(\infty)$  will be small ( $K_c K_0 = 100$  for example leads to a 1% error).

# Uncertain Plant Parameters, Sensitivity

If the actual steady state plant gain is  $K_0 + \Delta K_0$ .

- the open-loop configuration, the motor speed at the steady state under this assumption is

$$\omega(\infty) = (K_0 + \Delta K_0) \frac{1}{K_0} \omega_r(\infty)$$

- the error speed is

$$\Delta\omega(\infty) = \frac{\Delta K_0}{K_0} \omega_r(\infty) \quad \Rightarrow \quad \frac{\Delta\omega(\infty)}{\omega_r(\infty)} = \frac{\Delta K_0}{K_0}$$

The error of say 10% in the plant gain would lead to a 10% error in motor speed.

- For the closed-loop steady state gain would change to

$$G_0 + \Delta G_0 = \frac{K_c (K_0 + \Delta K_0)}{1 + K_c (K_0 + \Delta K_0)}$$

# Uncertain Plant Parameters, Sensitivity

- The relative error using linear approximation: if  $\Delta K_0$  is small,

$$\Delta G_0 \approx \frac{dG_0}{dK_0} \Delta K_0$$

The relative speed error is

$$\frac{\Delta \omega(\infty)}{\omega_r(\infty)} = \frac{\Delta G_0}{G_0} \approx \left( \frac{K_0}{G_0} \frac{dG_0}{dK_0} \right) \frac{\Delta K_0}{K_0}$$

- The factor between the relative error in plant gain and the resulting relative error in closed-loop steady state gain is called the *sensitivity* of the control system, denoted by  $S$ . We have

$$S = \frac{K_0}{G_0} \frac{dG_0}{dK_0}$$

# Uncertain Plant Parameters, Sensitivity

- Calculating the derivative yields

$$S = \frac{\frac{K_0}{K_c K_0}}{\frac{1 + K_c K_0}{1 + K_c K_0}} \frac{K_c(1 + K_c K_0) - K_c K_0 K_c}{(1 + K_0 K_c)^2}$$
$$S = \frac{1}{1 + K_c K_0}$$

- When the gain product  $K_c K_0$  is large, the sensitivity  $S$  is small and the effect of an error in the plant gain on the controlled output is reduced considerably; e.g. with  $K_c K_0 = 100$  a 10% error in plant gain leads to a 0.1% error in motor speed.



# Disturbance Rejection

We consider the effect of a load torque on the motor speed. Ideally the controller should maintain the desired speed independent of the load.

- When the load Torque  $\tau_l(t)$  is nonzero, the motor speed at the steady state is

$$\omega(\infty) = K_0 \left( \frac{1}{K_0} \omega_r(\infty) + K_l \tau_l(\infty) \right) = \omega_r(\infty) + K_0 K_l \tau_l(\infty)$$

- the open-loop speed error due to the load torque is

$$\Delta\omega_{ol}(\infty) = K_0 K_l \tau_l(\infty)$$

- In the closed-loop configuration, the transfer function from  $\tau_l(t)$  to  $\omega(t)$  is

$$\frac{\Omega(s)}{\hat{\tau}_l(s)} = \frac{K_l K_0}{\tau s + 1 + K_c K_0}$$

- in steady state operation we have

$$\omega(\infty) = \frac{K_c K_0}{1 + K_c K_0} \omega_r(\infty) + \frac{K_l K_0}{1 + K_c K_0} \tau_l(\infty).$$

# Disturbance Rejection

- The first term on the right hand side is the motor speed that would be reached when the load torque is zero.
- The second term is the closed-loop speed error due the load torque

$$\Delta\omega_{cl}(\infty) = \frac{K_l K_0}{1 + K_c K_0} \tau_l(\infty)$$

- Comparing this with the open-loop result, we find

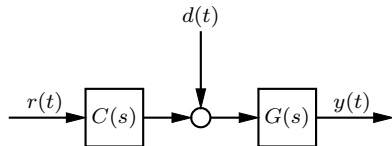
$$\Delta\omega_{cl}(\infty) = \frac{1}{1 + K_c K_0} \Delta\omega_{ol} \quad \text{or} \quad \Delta\omega_{cl}(\infty) = S \Delta\omega_{ol}(\infty)$$

- Thus, in closed loop the effect of a disturbance load on the speed is reduced by the same factor  $S$  as the effect of plant parameter errors; if the gain product  $K_c K_0$  is 100, the closed-loop error is reduced to 1% of the open-loop error.

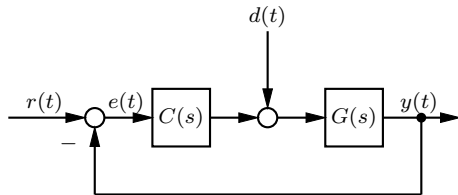
# Open Loop vs. Closed Loop

The performance of open-loop and closed-loop control have two different control objectives:

- the controlled output should follow a reference input as closely as possible (this is called the *tracking problem*)
- the controlled output should be held at its desired value in spite of external disturbance (this is the *disturbance rejection problem*).



Open-loop control configuration



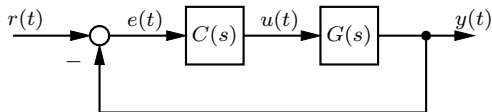
Closed-loop control configuration

For closed-loop control configuration, we have

- tracking problem ( $d(t) = 0$ ),  $Y(s) = \frac{G(s)C(s)}{1 + G(s)C(s)} R(s)$
- disturbance rejection problem ( $r(t) = 0$ ),  $Y(s) = \frac{G(s)}{1 + G(s)C(s)} D(s)$

# Types of Feedback

In this section, three basic types of feedback are introduced: proportional feedback, derivative feedback and integral feedback.



**Proportional Feedback:** The control input is linearly proportional to the control error. The control law is

$$u(t) = K_p (r(t) - y(t)) = K_p e(t).$$

Consider the transient behaviour. Assume that the plant is a second order system with transfer function

$$G(s) = \frac{1}{s^2 + a_1 s + a_0}$$

The controller is a proportional gain, i.e.  $C(s) = K_p$ .

# Types of Feedback

## Proportional gain

The closed-loop transfer function is

$$G_{cl}(s) = \frac{Y(s)}{R(s)} = \frac{K_P}{s^2 + a_1 s + a_0 + K_P},$$

Here,  $a_0$  is replaced by  $a_0 + K_P$ . Comparing this with the standard form of the characteristic equation of a second order system

$$s^2 + 2\zeta\omega_n s + \omega_n^2 = 0$$

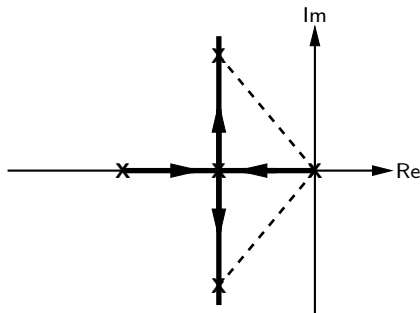
we see that the effect of  $K_P$  apart from the steady state gain is a change of the natural frequency  $\omega_n$ .

Assuming that  $a_0 = 0$ , the closed-loop poles are

$$s_{1,2} = -\frac{a_1}{2} \pm \sqrt{\left(\frac{a_1}{2}\right)^2 - K_P}.$$

# Types of Feedback

## Proportional gain



- the location of the poles for different values of  $K_P$  shown in Figure above.
- When  $K_P = 0$ , the roots are  $s_1 = 0$  and  $s_2 = -a_1$ , which are the open-loop poles of the plant without control.
- When  $K_P$  is increased, the poles move towards each other along the negative real axis, and for  $K_P = a_1^2/4$  they meet at  $s = -a_1/2$ .

# Types of Feedback

## Proportional gain

- When  $K_P$  is further increased, the poles become complex

$$s_{1,2} = -\frac{a_1}{2} \pm j\sqrt{K_P - \left(\frac{a_1}{2}\right)^2}$$

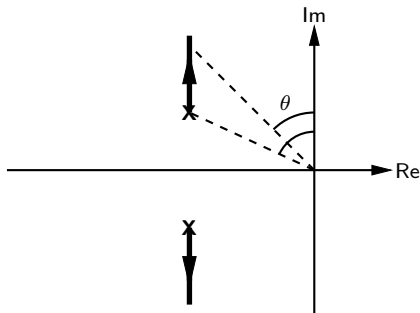
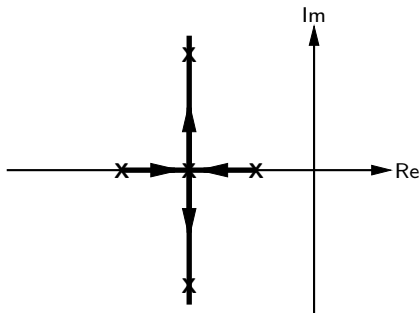
with real part  $-a_1/2$ , and the imaginary part increasing with  $K_P$ . When  $K_P = a_1^2/2$ , the poles are

$$s_{1,2} = -\frac{a_1}{2} \pm j\frac{a_1}{2}$$

and the angle between the poles and the imaginary axis is  $45^\circ$ .

# Types of Feedback

## Proportional gain



- If  $a_0 \neq 0$ , we have to distinguish two cases:
- the open-loop poles can be real or complex.
- In both cases, the behaviour of the closed-loop poles is similar to that when  $a_0 = 0$ ;
- From a steady state point of view, the feedback gain should be large in order to make the error small.
- However, the higher gain  $K_P$  leads to a small angle  $\theta$  between poles and imaginary axis. Since  $\sin \theta = \zeta$ , therefore a large  $K_P$  leads to a poor transient response with low damping ratio, large peak overshoot and oscillation.



# Types of Feedback

## Proportional plus Derivative Feedback

The control law for proportional plus derivative feedback (also known as PD control) is

$$u(t) = K_P (e(t) + T_D \dot{e}(t)) ,$$

where  $e = r - y$  is the controller error. Taking Laplace transforms, we have

$$U(s) = K_P (1 + T_D s) E(s)$$

thus the controller transfer function is

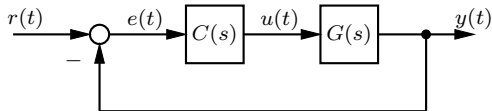
$$C(s) = K_P (1 + T_D s) .$$

The parameter  $T_D$  is called the *derivative time*.

# Types of Feedback

## Proportional plus Derivative Feedback

Consider the plant with transfer function  $G(s) = \frac{1}{s^2 + a_1 s + a_0}$



The closed-loop transfer function of the feedback system is

$$G_{cl}(s) = \frac{K_P (1 + T_D s)}{s^2 + a_1 s + a_0 + K_P (1 + T_D s)}.$$

The characteristic equation is

$$s^2 + (a_1 + K_P T_D)s + a_0 + K_P = 0,$$

It shows an additional degree of freedom in design offered by PD control: the  $K_P$  can still be used to reduce steady state error and to change the natural frequency. While, the  $T_D$  can be used to change the damping ratio independently of the natural frequency.

# Types of Feedback

## Integral Feedback

We use integral feedback to bring the steady state error to zero. Consider the closed-loop steady state gain from  $r(t)$  to  $y(t)$  from

$$G_{cl}(s) = \frac{Y(s)}{R(s)} = \frac{K_P}{s^2 + a_1 s + a_0 + K_P}, \quad G_{cl}(0) = \frac{K_P}{a_0 + K_P} \rightarrow 1 \text{ as } K_P \rightarrow \infty$$

The steady state error under proportional feedback becomes zero only when the feedback gain becomes infinite.

- The gain needs to be infinite *only in steady state*, i.e. when  $s = 0$ . If the gain is infinite for  $s = 0$  but finite for  $s \neq 0$ , a zero steady state error can be achieved while avoiding undesirable effects on the transient response.
- To do this, we have to include a factor  $1/s$  in the controller transfer function.

Consider the controller transfer function

$$C(s) = K_P \frac{1}{T_I s} \Rightarrow C(0) = \infty$$
$$U(s) = \frac{K_P}{T_I s} E(s) \Rightarrow u(t) = \frac{K_P}{T_I} \int_{t_0}^t e(\tau) d\tau$$

The parameter  $T_I$  is called the *integral time* or *reset time*.

# Types of Feedback

## Integral Feedback

To study the effect of integral feedback on the closed-loop behaviour, we consider the first order plant model

$$G(s) = \frac{K_0}{\tau s + 1}$$

By using  $C(s) = K_P \frac{1}{T_I s}$ , the closed-loop transfer function is

$$G_{cl}(s) = \frac{Y(s)}{R(s)} = \frac{K_P K_0}{T_I s(\tau s + 1) + K_P K_0}$$

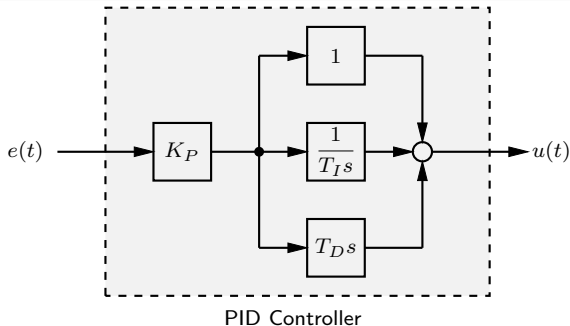
and setting  $s = 0$  shows that  $G_{cl}(0) = \frac{K_P K_0}{K_P K_0} = 1$ , thus  $y(t) = r(t)$  as  $t \rightarrow \infty$ , i.e. the steady state error is indeed zero. The characteristic equation is

$$s^2 + \frac{1}{\tau}s + \frac{K_P K_0}{T_I \tau} = 0$$

Increasing the controller gain  $K_P/T_I$  leads to a faster decay of the steady state error, but the characteristic equation shows that increasing this gain also leads to a low damping ratio.

# Types of Feedback

## PID Control



Combining proportional, integral and derivative feedback leads to the control law

$$u(t) = K_P \left( e(t) + \frac{1}{T_I} \int_{t_0}^t e(\tau) d\tau + T_D \dot{e}(t) \right).$$

The transfer function of this controller is

$$C(s) = K_P \left( 1 + T_D s + \frac{1}{T_I s} \right)$$

# Types of Feedback

## PID Control

PID control was developed in the 1930s and has been widely used since.

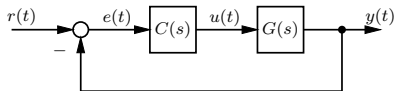
- Most commercially available industrial controllers today are of this type.
- PID control is a controller structure! There are more than 100 variations.
- There are three basic design parameters: proportional gain, integral time and derivative time
- These parameters are the tuning knobs of a PID controller.
  - a small integral time  $T_I$  brings the steady state error quickly to zero, but at the same time reduces the damping ratio;
  - increasing  $T_D$  increases the damping ratio,
  - increasing  $K_P$  increases the natural frequency and thus the speed of the response.

# Types of Feedback

## PID Control

Consider a second order system with transfer function

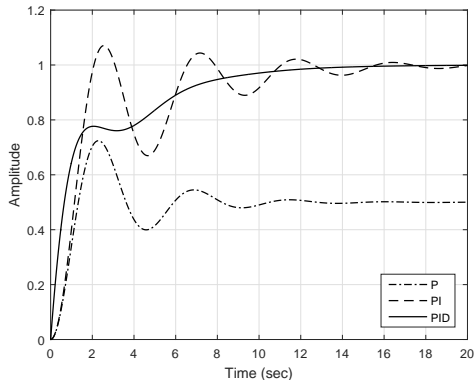
$$G(s) = \frac{1}{s^2 + 0.7s + 1}$$



P control:  $K_P = 1$

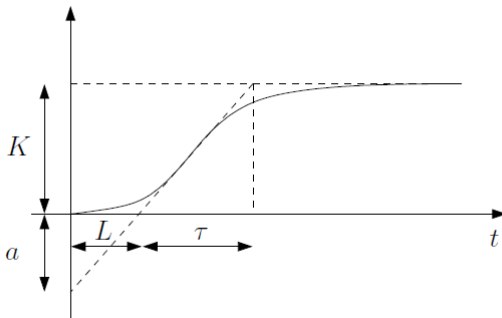
PI control:  $K_P = 1, T_I = 2$

PID control:  $K_P = 1, T_D = 1, T_I = 2$



# Types of Feedback

## PID Control: Ziegler-Nichols Tuning method



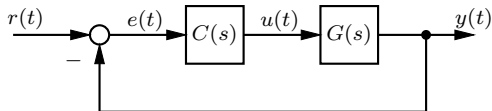
Type of controller	Ziegler-Nichols Gains
P	$K_P = 1/a, T_I = \infty, T_D = 0$
PI	$K_P = 0.9/a, T_I = 3L, T_D = 0$
PID	$K_P = 1.2/a, T_I = 2L, T_D = L/2$



# Steady State Tracking Error

## Steady State Error to a Ramp Input

Consider a closed-loop system shown in Figure below



Assuming that  $C(s) = K_p$  and  $r(t)$  is a step input

**case 1:**

$$G(s) = \frac{K_0}{\tau s + 1}, \quad G_{cl}(s) = \frac{K_p K_0}{\tau s + 1 + K_p K_0}, \quad G_{cl}(0) = \frac{K_p K_0}{1 + K_p K_0} \neq 1, \quad e_{ss}(\infty) \neq 0$$

**case 2:**

$$G(s) = \frac{K_0}{s(\tau s + 1)}, \quad G_{cl}(s) = \frac{K_p K_0}{s(\tau s + 1) + K_p K_0}, \quad G_{cl}(0) = \frac{K_p K_0}{K_p K_0} = 1, \quad e_{ss}(\infty) = 0$$

It is obviously, the factor  $1/s$  in the forward path enables the feedback system to reach a constant setpoint with zero steady state error.

# Steady State Tracking Error

## Steady State Error to a Ramp Input

The unit ramp input is defined by  $r(t) = t\mathbb{1}(t)$ . We use a ramp input as reference when a position control system is required to track an object that is moving with constant velocity. The closed-loop transfer function from the reference input  $r(t)$  to the error signal  $e(t)$  is

$$\frac{E(s)}{R(s)} = \frac{1}{1 + L(s)}, \quad \text{where } L(s) = C(s)G(s)$$

Let  $C(s) = K_p$ , and  $G(s) = K_0/s(\tau s + 1)$  we have

$$L(s) = K_p G(s) = \frac{K_p K_0}{s(\tau s + 1)}$$

From the factor  $1/s$  of  $G(s)$  it is obvious that the feedback system can follow a step change with zero steady state error.

Consider the ramp input. The Laplace transform of the unit ramp is  $1/s^2$ , thus the error to a unit ramp input is

$$E(s) = \frac{1}{1 + L(s)} R(s) = \frac{s(\tau s + 1)}{s(\tau s + 1) + K_p K_0} \frac{1}{s^2}$$

# Steady State Tracking Error

## Steady State Error to a Ramp Input

The steady state error is

$$\begin{aligned} e_{ss}(\infty) &= \lim_{s \rightarrow 0} sE(s) = s \frac{s(\tau s + 1)}{s(\tau s + 1) + K_p K_0} \frac{1}{s^2} \\ &= \frac{1}{K_p K_0} \neq 0 \end{aligned}$$

Hence, the feedback system can follow a ramp input only with a nonzero steady state error, and the error is small when the gain product  $K_p K_0$  is large.

Consider a plant with transfer function

$$G(s) = \frac{(s+3)^2}{s^2(s+1)}$$

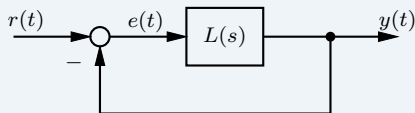
With proportional feedback, we have

$$L(s) = \frac{K_p(s+3)^2}{s^2(s+1)}, \quad e_{ss}(\infty) = \lim_{s \rightarrow 0} s \frac{s^2(s+1)}{s^2(s+1) + K_p(s+3)^2} \frac{1}{s^2} = 0.$$

# System Types

## System Types

Consider the feedback system



If  $k$  is the largest integer such that the transfer function  $L(s)$  can be written in the form

$$L(s) = \frac{n_L(s)}{s^k d_L(s)}$$

i.e. if the denominator has a factor  $s^k$ , then this feedback system is called a type  $k$  system.

In this course, we consider only a type 0, 1, 2 systems.

# System Types

## Position Error Constant

The position error constant of the considered feedback system is defined as

$$K_{pos} = \lim_{s \rightarrow 0} L(s) = \lim_{s \rightarrow 0} \frac{n_L(s)}{s^k d_L(s)}$$

Because the steady state error to a unit step input is given by

$$e_{ss}(\infty) = \lim_{s \rightarrow 0} sE(s) = \lim_{s \rightarrow 0} s \frac{1}{1 + L(s)} \frac{1}{s} = \frac{1}{1 + \lim_{s \rightarrow 0} L(s)},$$

The steady state error (in response to a unit step) in terms of the position error constant is

$$e_{ss}(\infty) = \frac{1}{1 + K_{pos}}$$

The position error for type 0, type 1 and type 2 systems.

Type	$K_{pos}$	$e_{ss}(\infty)$
0	$L(0)$	$\frac{1}{1 + K_{pos}}$
1	$\infty$	0
2	$\infty$	0

# System Types

## Velocity Error Constant

The velocity error constant of the considered feedback system is defined as

$$K_{vel} = \lim_{s \rightarrow 0} sL(s) = \lim_{s \rightarrow 0} \frac{n_L(s)}{s^{k-1}d_L(s)}$$

Therefor the steady state error to a unit ramp input is

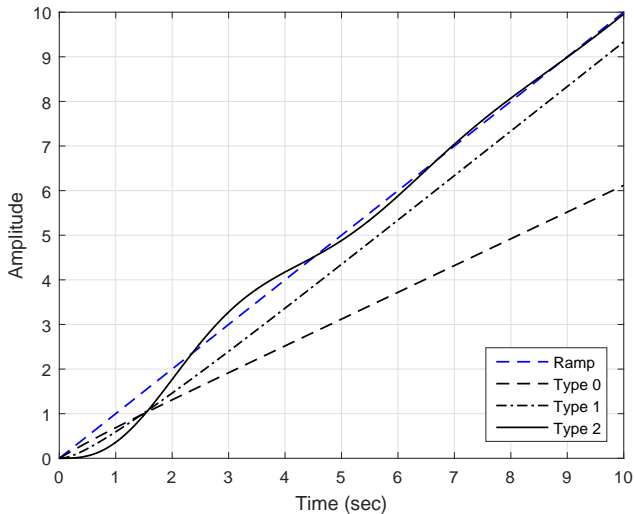
$$e_{ss}(\infty) = \lim_{s \rightarrow 0} sE(s) = \lim_{s \rightarrow 0} s \frac{1}{1 + L(s)} \frac{1}{s^2} = \lim_{s \rightarrow 0} \frac{1}{s + sL(s)} = \frac{1}{\lim_{s \rightarrow 0} sL(s)} = \frac{1}{K_{vel}}.$$

The position error for type 0, type 1 and type 2 systems.

Type	$K_{vel}$	$e_{ss}(\infty)$
0	0	$\infty$
1	$\frac{n_L(0)}{d_L(0)}$	$\frac{1}{K_{vel}}$
2	$\infty$	0

# System Types

Velocity Error Constant



# Stability

## Definition:

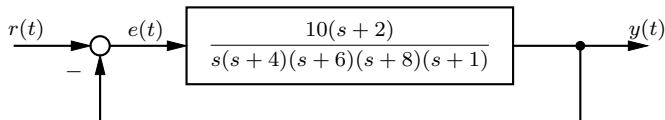
- A system is stable if
  - the natural response approaches zero as  $t \rightarrow \infty$
  - for every bounded input the output is also bounded as  $t \rightarrow \infty$
- A system is unstable if
  - the natural response approaches infinity as  $t \rightarrow \infty$
  - for every bounded input the output is unbounded as  $t \rightarrow \infty$
- A system is marginally stable if
  - the natural response remains constant or oscillates
  - there is at least one bounded input for which the output oscillates

The easiest to check the stability of the system is checking whether the system has no right half-plane poles. For high order system, we can use computer software such as Matlab or Scilab to check the roots of the characteristic polynomial of the considered system by using a command `roots`.



# Stability

## Routh's criterion



- Is the system above stable?
- The closed-loop transfer function of the system is

$$\begin{aligned} T_{yr} &= \frac{10(s+2)}{s(s+4)(s+6)(s+8)(s+1) + 10(s+2)} \\ &= \frac{10(s+2)}{s^5 + 28s^4 + 284s^3 + 1232s^2 + 1930s + 20} \end{aligned}$$

- simply use a command roots to check the pole locations.
- Routh's criterion can be used to check stability by simple calculations, without explicitly computing the poles.

# Stability

## Routh's criterion

Consider a system

$$G(s) = \frac{b_m s^m + b_{m-1} s^{m-1} + \dots + b_1 s + b_0}{s^n + a_{n-1} s^{n-1} + \dots + a_1 s + a_0} = \frac{b(s)}{a(s)}$$

- The stability of the system is determined by the roots of the characteristic equation

$$a(s) = s^n + a_{n-1} s^{n-1} + \dots + a_1 s + a_0 = 0$$

- the *necessary condition* for stability, but it is not sufficient is all coefficients of the characteristic polynomial must be positive. If  $G(s)$  is stable, all coefficients are positive, and if one or more coefficients are negative or zero, the system is unstable.
- the *necessary and sufficient condition* for stability is called the *Routh's criterion*. This test is based on the so-called *Routh array*

# Stability

## Routh's criterion

The Routh array is as follow:

$$\begin{array}{c|cccccc} s^n & 1 & a_{n-2} & a_{n-4} & a_{n-6} & 0 \\ s^{n-1} & a_{n-1} & a_{n-3} & a_{n-5} & a_{n-7} & 0 \\ & c_1 & c_2 & c_3 & 0 & \\ & d_1 & d_2 & d_3 & 0 & \\ & e_1 & d_2 & 0 & & \\ & f_1 & f_2 & 0 & & \\ & g_1 & 0 & & & \\ & h_1 & & & & \end{array}$$

Here it is assumed that  $a_{n-7}$  is the last coefficient (i.e.  $n = 7$ )

The subsequent rows are computed as follows:

$$c_1 = \frac{- \begin{vmatrix} 1 & a_{n-2} \\ a_{n-1} & a_{n-3} \end{vmatrix}}{a_{n-1}} = \frac{a_{n-1}a_{n-2} - a_{n-3}}{a_{n-1}}$$

$$c_2 = \frac{- \begin{vmatrix} 1 & a_{n-4} \\ a_{n-1} & a_{n-5} \end{vmatrix}}{a_{n-1}} = \frac{a_{n-1}a_{n-4} - a_{n-5}}{a_{n-1}}$$

$$c_3 = \frac{- \begin{vmatrix} 1 & a_{n-6} \\ a_{n-1} & a_{n-7} \end{vmatrix}}{a_{n-1}} = \frac{a_{n-1}a_{n-6} - a_{n-7}}{a_{n-1}}$$

$$c_4 = \frac{- \begin{vmatrix} 1 & 0 \\ a_{n-1} & 0 \end{vmatrix}}{a_{n-1}} = 0$$

# Stability

## Routh's criterion

$$d_1 = \frac{- \begin{vmatrix} a_{n-1} & a_{n-3} \\ c_1 & c_2 \end{vmatrix}}{c_1} = \frac{c_1 a_{n-3} - c_2 a_{n-1}}{c_1}$$
$$d_2 = \frac{- \begin{vmatrix} a_{n-1} & a_{n-5} \\ c_1 & c_3 \end{vmatrix}}{c_1} = \frac{c_1 a_{n-5} - c_3 a_{n-1}}{c_1}$$

- The array terminates with  $n + 1$  rows, and sufficient condition for stability is that all elements in the first column are positive.
- If not all elements in the first column are positive, then the number of unstable poles is equal to the number of sign changes.

# Routh's criterion

## example

Is the system with denominator polynomial  $s^3 + 2s^2 + s + 4 = 0$  stable? Form the Routh array

$$\begin{array}{c|ccc} s^3 & 1 & 1 & 0 \\ s^2 & 2 & 4 & 0 \\ s^1 & c_1 & 0 & \\ s^0 & d_1 & & \end{array}$$

$$c_1 = \frac{-\begin{vmatrix} 1 & 1 \\ 2 & 4 \end{vmatrix}}{2} = \frac{2-4}{2} = -1$$

$$d_1 = \frac{-\begin{vmatrix} 2 & 4 \\ -1 & 0 \end{vmatrix}}{-1} = \frac{-4-0}{-1} = 4$$

- The elements in the first column  $(1, 2, -1, 4)$  show two sign change, indicating two unstable roots.
- By calculating, the roots are

$$s_{1,2} = 0.16 \pm j1.3$$

$$s_3 = -2.3$$

- The system is unstable.

# Routh's criterion

## example

Consider the polynomial  $s^3 + 2s^2 + 4s + 4 = 0$ . Form the Routh array

$$\begin{array}{c|ccc} s^3 & 1 & 4 & 0 \\ s^2 & 2 & 4 & 0 \\ s^1 & c_1 & 0 & \\ s^0 & d_1 & & \end{array}$$
$$c_1 = \frac{-\begin{vmatrix} 1 & 4 \\ 2 & 4 \end{vmatrix}}{2} = \frac{-4 + 8}{2} = 2$$
$$d_1 = \frac{-\begin{vmatrix} 2 & 4 \\ 2 & 0 \end{vmatrix}}{2} = \frac{-0 + 8}{2} = 4$$

- All elements in the first column are positive, indicating that the system is stable.
- By calculating, the roots are

$$s_{1,2} = -0.35 \pm j1.7$$

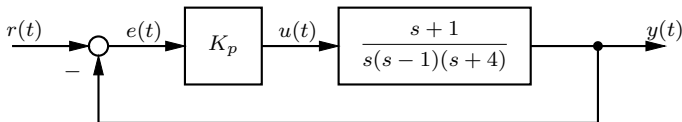
$$s_3 = -1.3$$

- The system is stable.

# Routh's criterion

## example

The Routh array can also be used to calculate the stability range of feedback gains. Consider the feedback system shown in Figure below:



The closed-loop transfer function is

$$\frac{Y(s)}{R(s)} = \frac{K_p(s+1)}{s(s-1)(s+4) + K_p(s+1)}$$

- The plant has an unstable pole at  $s = 1$
- We are interested in the range of values of  $K_p$  that make the closed-loop system stable.

# Routh's criterion

## example

From the characteristic equation

$$s^3 + 3s^2 + (K_p - 4)s + K_p = 0$$

The Routh array is

$$\begin{array}{c|ccc} s^3 & 1 & K_p - 4 & 0 \\ s^2 & 3 & K_p & 0 \\ s^1 & c_1 & 0 & \\ s^0 & d_1 & & \end{array}$$

$$c_1 = \frac{3(K_p - 4) - K_p}{3} = \frac{2K_p - 12}{3}$$

$$d_1 = \frac{c_1 K_p - 0}{c_1} = K_p$$

The closed-loop system is stable if and only if all elements in the first column are positive.

- This requires  $K_p > 0$  and

$$\frac{3}{2}K_p - 4 > 0$$

- Therefore the closed-loop system is stable if and only if  $K_p > 6$ .



# Reference

1. Norman S. Nise, " *Control Systems Engineering*, 6<sup>th</sup> edition, Wiley, 2011
2. Gene F. Franklin, J. David Powell, and Abbas Emami-Naeini, " *Feedback Control of Dynamic Systems*", 4<sup>th</sup> edition, Prentice Hall, 2002
3. Herbert Werner, " *Introduction to Control Systems*", Lecture Notes